

BLAKELY SOKOLOFF TAYLOR ZAFMAN LLP

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Deliver to: Examiner S. Loke _____

Firm Name: U.S. PATENT AND TRADEMARK OFFICE Art Unit 2811 _____

Fax Number: (703) 872-9318 _____ Telephone No. _____

From: William Thomas Babbitt _____ Reg. No.: 39,591 _____

Date: November 16, 2001 _____ Time: _____

BSTZ Matter: 042390P5771D _____ Number of pages including cover sheet: 16

In Re Patent Application of: Chunlin Liang and Gang Bai _____

Application No.: 09/517,705 _____

Filed: March 2, 2000 _____

Title: COMPLEMENTARY METAL GATE ELECTRODE TECHNOLOGY

Enclosed are the following documents:

<input checked="" type="checkbox"/> Amendment/Response (12 pgs)	<input type="checkbox"/> Declaration & PofA (____ pgs)	<input type="checkbox"/> Reply Brief (____ pgs)
<input type="checkbox"/> Appeal Brief (____ pgs) (in triplicate)	<input type="checkbox"/> Drawings: # of sheets w/ ____ figs.	<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> Utility Application (____ pgs)	<input checked="" type="checkbox"/> 1 Month Petition re Ext. of Time	<input type="checkbox"/> Resp. to Notice of Missing Parts (____ pgs)
<input type="checkbox"/> 1.53(b) Continuation Application (____ pgs)	<input type="checkbox"/> IDS & PTO 1449 (____ pgs)	<input checked="" type="checkbox"/> Transmittal Letter (____ pgs) (in duplicate)
<input type="checkbox"/> 1.53(b) Divisional Application (____ pgs)	<input type="checkbox"/> Issue Fcc Transmittal (____ pgs)	<input checked="" type="checkbox"/> Fee Transmittal (2 pgs) (in duplicate)
<input type="checkbox"/> 1.53(b) CIP Application (____ pgs)	<input type="checkbox"/> Notice of Appeal (____ pgs)	<input checked="" type="checkbox"/> Deposit Account Authorization
<input type="checkbox"/> 1.53(d) CPA Transmittal (____ pgs)	<input type="checkbox"/> Petition re _____ (____ pgs)	<input type="checkbox"/> Other: _____
<input type="checkbox"/> PCT Application (____ pgs)	<input type="checkbox"/> Power of Attorney (____ pgs)	<input type="checkbox"/> Other: _____
<input type="checkbox"/> Provisional Application (____ pgs)	<input type="checkbox"/> Declaration by Inventor(s) (____ pgs)	<input type="checkbox"/> Other: _____
<input type="checkbox"/> Assignment & Cover Sheet (____ pgs)	<input type="checkbox"/> Supplemental Declaration (____ pgs)	<input type="checkbox"/> Other: _____
<input checked="" type="checkbox"/> Certificate of Transmission by Fax	<input type="checkbox"/> Preliminary Amendment (____ pgs)	<input type="checkbox"/> Other: _____

CERTIFICATE OF TRANSMISSION

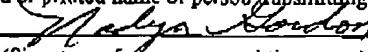
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PTO/SB/21(08/00)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/517,705
		Filing Date	March 2, 2000
		First Named Inventor	Chunlin Liang
		Group Art Unit	2811
		Examiner Name	Loke, S.
Total Number of Pages in This Submission	16	Attorney Docket Number	42390P5771D

ENCLOSURES (check all that apply)

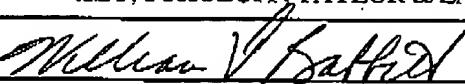
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group	
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	
<input checked="" type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter	
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):	
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Facsimile Transmittal Sheet	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund		
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application			
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53			
Remarks			

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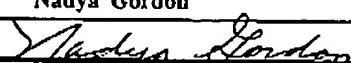
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	William Thomas Babbitt, Reg. No. 39,591 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
Signature	
Date	November 16, 2001

CERTIFICATE OF MAILING (OR TRANSMISSION)I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: November 16, 2001

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Signature		Date	11/16/01

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Approved for use through 10/31/2002 OMB 0651-0032Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 110.00)

Complete if Known

Application Number	09/517,703
Filing Date	03/02/00
First Named Inventor	Chunlin Liang, et al.
Examiner Name	Loke, S.
Group Art Unit	2811
Attorney Docket Number	42390P5771D

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **02-2666**Deposit Account Name **Hilakely, Sokoloff, Taylor & Zafman LLP** Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 Surcharge - late filing fee or oath	
127	50	227 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 Filing a request for expedited reexamination	
112	920	112 920 Requesting publication of SIR prior to Examiner action	
113	1,840	113 1,840 Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for response within first month	110
116	390	216 195 Extension for response within second month	
117	890	217 445 Extension for response within third month	
118	1,390	218 695 Extension for response within fourth month	
128	1,890	228 945 Extension for response within fifth month	
119	310	219 155 Notice of Appeal	
120	310	220 155 Filing a brief in support of an appeal	
121	270	221 135 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidably delayed	
141	1,240	241 620 Petition to revive - unintentionally delayed	
142	1,240	242 620 Utility issue fee (or reissue)	
143	440	243 220 Design issue fee	
144	600	244 300 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Petitions related to provisional applications	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	710	246 355 Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249 355 For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279 355 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify) _____			

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355 Utility filing fee	
105	320	206 160 Design filing fee	
107	490	207 245 Plant filing fee	
108	710	208 355 Reissue filing fee	
114	150	214 75 Provisional filing fee	

SUBTOTAL (1) (\$)

Total Claims	Extra	Fee from below	Fee Paid
Independent Claims	4 -	= <input type="text"/> X <input type="text"/> = <input type="text"/>	
Dependent Claims	1 -	= <input type="text"/> X <input type="text"/> = <input type="text"/>	

Multiple Dependent Claims

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple Dependent claim
109	80	209 40 Reissue independent claims over original patent
110	18	210 9 Reissue claims in excess of 20 and over original patent

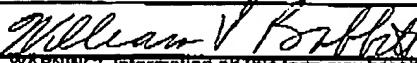
SUBTOTAL (2) (\$)

* or number previously paid if greater; For Reissues see above

SUBTOTAL (3) (\$ 110.00)

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Complete (if applicable)

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Signature		Date	11/16/2001	Deposit Account User ID 02-2666

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